



**Components & Compliance of the BSA/AML ("Title 31"+)**  
**August 16 – 18, 2017 ~ Las Vegas, Nevada ~ Tuscany Suites & Casino**  
**Seminar from 9:00AM to 4:00PM Daily**

**I. Components & Compliance of the BSA/AML/CTF covered in The 3 day seminar:**

- 31 U.S.C. 5311-5314e
- 5316-5330
- 5331
- 5332e
- 12 U.S.C. 1829b (Record Retention as it applies to Depository Institutions)
- 12 U.S.C. 1951-1959e (Financial Record Keeping)
- Federal Crime of Money Laundering - Title 18, U.S. Code, Crimes and Criminal Procedure
- Federal Crime of Operating an Unlicensed or Unregistered Money Transmitting Business - Title 18 U.S. Code, Crimes and Criminal Procedure
- Public Law 107-56 & How it applies
- HIFCA/HIDTA & Available Grants
- CDD Requirements and Compliance
- 5 U.S.C. §552 FOIA (Specifically §552a. Records maintained on individuals –Privacy Act)
- 31cfr501 – Office of Foreign Asset Control (OFAC)
- DOEP ABaRR Compliance
- Part 4 → Chapter 26 → Section 6 of the IRS Examiners Manual
- Part 4 → Chapter 26 → Section 9 of the IRS Examiners Manual
- 31 CFR Chapter X (Effective March 1, 2011) (Title 31x)
- 31 CFR Part 103 (Effective through February 28, 2011)
- FATF 40 & 9 and Sanctions
- The IRS/FinCEN Audit & Follow-Up
- The BSA/AML Risk Assessment & Independent Review
- How to achieve full compliance (Manually!)
- Achieving Audit Success in an IRS Audit

*SCSU offers a complete money back guarantee. Just ask us about it! There is no other firm that does that. This seminar is designed for real life Casino Employees, Regulators and Execs... everything is tried and true. You cannot afford **not** to attend; the multi-media presentation alone will save you grief and fines at your IRS audit! We are on your side...Always!*

**Who Should Attend?** BSA Compliance Officers, Revenue Audit, Enterprise Executives, Floor Managers, Gaming Commission & Council Members, TGA Background Agents.

**Why Stringfellow?** SCSU is the only firm performing IRS Comparable BSA/AML/CTF ("Title 31") Reviews and Compliance. Mr. Stringfellow has 20 years instructing, auditing and performing BSA/AML Compliance work, including performing the position of BSA Compliance Officer in Tribal Casinos and Performing Backgrounds! No other Speaker or Firm has the experience that SCSU does in Real Life Conditions!

**Seminar Cost:** **\$650.00 if reserved by July 15**, and \$750 until August 1 (per attendee).

**Fee includes:** CD-ROMs, Notebook, Seminar Manual, Breakfast, Snacks & Lunch. Contact Athena for groups of 6 or more!

**Seminar Goals:** To know each applicable Statute, Regulation and Process of the Components of the BSA/AML, to network with other professionals and to apply the knowledge gained to create a BSA/AML Compliance success. To discuss corrective measures. To identify CDD and perform in house CDD. To effectively augment the Tribal BSA/AML/CTF "Title 31" Program.

**Tuscany Suites & Casino:** Set 2 blocks from the Strip, this classy, all-suite casino hotel is a beautiful showcase located at 255 E. Flamingo Rd, Las Vegas, NV 89169. To receive the group rate (Sun-Thurs \$72 + tax and Fri/Sat \$132 + tax) & NO Parking or resort fees, your Group Code booking number is: **13P91W**.

Please contact the Tuscany at **877-887-2261**. [www.TuscanyLV.com](http://www.TuscanyLV.com) A splendid property just off the Las Vegas Strip!

**With the New Changes at FinCEN, NOW is the time to get your staff trained up!**

**Components & Compliance of the BSA/AML ("Title 31"+) 8/2017**

Registration: (Please e-mail one sheet per attendee – Make copies if needed!)

Attendee Name: \_\_\_\_\_ Title/Position: \_\_\_\_\_

Casino/Tribe: \_\_\_\_\_ Attendee Contact Phone: \_\_\_\_\_

Attendee Contact E-Mail Address: \_\_\_\_\_

Pay by:  Check for individual  Check for Group  Credit Card Payment (To pay by credit card, call us)

Please send checks to: Stringfellow Consulting Services ~ PO Box 21 ~ Horton, KS. 66439

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